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Effective on 12/08/2004.

Fees pursuant to the consolidated Appropriates Act, 2005 (H.R. 4818).

FEE TRANSMITTAL**for FY 2005**

Complete if Known

Application Number 09/480,724

Filing Date January 7, 2000

First Named Inventor Robert Carey

Examiner Name Harish T. Dass

Art Unit 3628

Attorney Docket No. 12640US01

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 455.00

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy

For the above-identified deposit account, the Director is hereby authorized to (check all that apply)

☒ Charge Fee(s) indicated below☐ Charge Fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fees(s) ☒ Credit any overpayments under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

FILING FEES

SEARCH FEES

EXAMINATION FEES

Application Type	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fees Paid(\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

	Small Entity Fee(\$)	Fee(\$)
Each claim over 20, or for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Multiple dependent claims

Total Claims	Extra Claims	Fee(\$)	Fee Paid (\$)	Multiple Dependent Claims
-20 or HP	x	=		Fee
				Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee(\$)	Fee Paid (\$)
-3 or HP	x	=	

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee(\$)	Fee Paid(\$)
-100	/50	(round up to a whole number)	x	=

4. OTHER FEE(S)**Fee Paid(\$)**

Non-English Specification, \$130 fee (no small entity discount)


Other: Petition for One Month Extension of Time

60.00

Request for Continued Examination under 37 C.F.R. § 1.114

395.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	56,975	Telephone	(312)775-8000
Name (print/type)	Yufeng Ma			Date	10-07-05

10-11-05

THU
RCE



Docket No. 12640US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Case No. 12640US01

PATENT

In the Application of:

ROBERT CAREY et al.

Serial No.: 09/480,724

Filed: January 7, 2000

For: METHOD OF SELECTING
SECURITIES FOR A PORTFOLIO

Examiner: Harish T. Dass

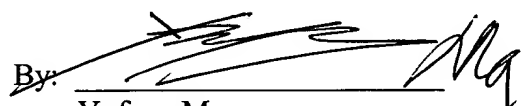
Group Art Unit: 3628

Confirmation No.: 4660

CERTIFICATE OF EXPRESS MAILING

EL 929182114 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop: RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 7, 2005.

By: 
Yufeng Ma
Reg. No. 56,975

REQUEST FOR CONTINUED EXAMINATION UNDER 37 C.F.R. § 1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action in the above-entitled application, mailed June 7, 2005, and to the Advisory Action dated September 1, 2005, affirming the Examiner's rejections, Applicants hereby file this Request for Continued Examination (RCE) under 37 C.F.R. § 1.114.

The Commissioner is hereby authorized to charge the fees requested under 37 C.F.R. § 1.17 in the amount of \$395.00 for this REC to deposit account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

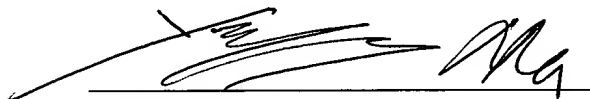
10/12/2005 NNGUYEN1 00000014 130017 09480724

01 FC:2801 395.00 DA

As part of Applicants' RCE, Amendment H is filed together with this paper, and Applicants respectfully request entry (as a matter of right) of the amendments contained therein.

Respectfully submitted,
McAndrews, Held & Malloy, Ltd.

Date: October 7, 2005

A handwritten signature in black ink, appearing to be 'Yufeng Ma', is written over a horizontal line.

Yufeng Ma
Reg. No. 56,975

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